

Phenotyping Asthma and COPD

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ABSTRACT

Clinical management of asthma and COPD is complex, largely because of the marked heterogeneity observed in these conditions. Phenotyping is a new approach that can assist clinicians. This review seeks to describe an approach to clinical and inflammatory/molecular phenotyping of asthma and COPD. Clinical phenotypes can be considered in the key domain areas of comorbidity, airway, and risk factors. Evidence-based therapy can be linked to each of the components of these airway disease phenotypes. The concept can be extended to identify disease endotypes, where a pathogenic mechanism is linked to a specific treatment, and biomarkers are used to identify endotypes. Eosinophilic inflammation is perhaps the best characterized endotype of airway disease. Molecular endotypes are now also being identified using transcriptomic approaches. Phenotyping asthma and COPD represents a new and potentially effective approach to the management of these heterogeneous airway diseases. (BRN Rev. 2016;2:239-52)

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INTRODUCTION

Asthma and chronic obstructive pulmonary disease (COPD) are common non-communicable diseases that cause significant illness burden. COPD is a condition of high and increasing prevalence, affecting approximately 10% of people over the age of 40 globally¹, with prevalence continuing to increase with age². In 2010 it was the third leading cause of mortality worldwide³. Asthma similarly affects approximately 10% of the world's population, and can be diagnosed at any age, including the elderly. Mortality from asthma remains a problem and the risk of dying from asthma increases with age, with most deaths occurring in those over the age of 65 years⁴.

Heterogeneity in terms of airway pathophysiology, comorbidity, risk factors and behavioural characteristics exists in both asthma and COPD; accordingly, the management of these conditions can be complex^{4,5}. In an attempt to improve outcomes for patients with asthma and COPD, a phenotyping approach has been proposed⁵⁻⁸. This approach classifies patients into subgroups according to either prognosis or treatment response; this then enables the application of targeted or individualized therapies to improve outcomes^{4,5}.

This review discusses the clinical, inflammatory, and molecular phenotypes identified in asthma and COPD and offers an approach to phenotyping that can be implemented in the clinic.

DEFINITIONS

A phenotype is defined as "the set of observable characteristics of an individual resulting

from the interaction of its genotype with the environment". This definition can be limited when applied to clinical practice because it doesn't necessarily determine that the identification of a phenotype has any clinical use at all! In order to increase the utility of phenotyping, the additional concepts of a clinical phenotype and of an endotype have been developed.

A clinical phenotype is defined as "a single or combination of disease attributes that describe differences between individuals with COPD as they relate to clinically meaningful outcomes like symptoms, exacerbations, response to therapy, rate of disease progression or death"⁹. A key and important aspect of this definition is that it lifts the recognition of a phenotype beyond any recognizable characteristic, and extends it to a characteristic that is clinically important. This adds significantly to the clinical utility of phenotypic characterization in asthma and COPD.

An endotype is "a subtype of a condition, which is defined by a distinct functional or pathobiological mechanism" (<https://en.wikipedia.org/wiki/Endotype>). Implicit in this description is the recognition of a key mechanistic pathway that is operating in the individual with the condition. The utility of the endotype concept is that it allows recognition of specific biomarkers and therapeutics that can be used to identify and treat the endotype^{10,11}. An inflammatory or molecular endotype is a disease subtype of a condition or disease that has specific inflammatory or molecular characteristics indicating an underlying pathobiological pathway.

APPROACHES TO CLINICAL PHENOTYPING

The approach to phenotyping needs to consider the variables assessed, the study design used to identify the phenotype, and validation of the phenotype. Variables that can be used for phenotyping in asthma and COPD include clinical assessments, radiological measures (example, quantitative computerised tomography thorax scans), measures of the inflammatory response, and molecular markers. This review will focus on clinical and inflammatory/molecular phenotyping.

Several different types of study can be used to identify phenotypes. Cross-sectional hypothesis-driven studies assess a predetermined phenotype. For example, the Evaluation of COPD Longitudinally to Identify Predictive Surrogate End-points (ECLIPSE) trial assessed the frequent exacerbator phenotype of COPD¹². Hypothesis-free designs, such as cluster analyses, can be used where the data are grouped into categories (phenotypes) based on similarity in the measures used. An example is the cluster analysis of the ECLIPSE study, which identified five phenotypes with differing prognoses¹³. A further possible design is to conduct a responder analysis of a clinical trial and use this to identify a responder phenotype for a particular therapeutic. This approach was successfully used to identify responder characteristics for mepolizumab in severe refractory asthma¹⁴ and the results showed that low bronchodilator reversibility and nasal polyposis were features of mepolizumab responders, and that this phenotype had a mean 53% reduction in asthma exacerbation rates with mepolizumab. This is an interesting approach since the link to a clinically

meaningful outcome is provided by using a strong study design (i.e. a randomized controlled trial), and the results can provide new insights; for example, finding that low (as opposed to high) bronchodilator reversibility was associated with a large effect size.

Each of these approaches to phenotype identification is dependent on the population studied, how they are selected, and the type and number of variables used for phenotypic assessment. In order to minimize bias and maximize utility, it is necessary to validate findings in a second population where the phenotype can be assessed for stability (repeatability), relation to prognosis, or prediction of response to a specific treatment.

CLINICAL PHENOTYPES OF ASTHMA AND COPD

There are a number of “clinical phenotypes” that are shared by asthma and COPD. Comorbidities, airway pathophysiology, and risk factors (Table 1, Fig. 1) are key phenotypic characteristics that respond to targeted or individualized therapies (Fig. 1 and 2). Each of these can be readily assessed in the clinic and can be linked to evidenced-based interventions that can be applied to the phenotype. Targeting therapies to the phenotypic characteristics ensures that the right treatments are applied to the right patients, irrespective of their disease diagnosis. This precision medicine approach has been the focus of attention in airways disease. Agusti et al.⁵ have proposed the concept of “treatable traits” of airways disease in a recent review and offer an innovative approach to implementation. We similarly have proposed an approach involving multidimensional

TABLE 1. Clinical phenotypes of asthma and COPD.

Comorbidity	Upper airway dysfunction Anxiety and depression Cardiovascular and metabolic disease Obstructive sleep apnoea Osteoporosis
Airway pathophysiology	Airflow limitation Acute exacerbations Airway inflammation
Risk factors	Smoking Physical inactivity Nutrition (obesity) Self-management behaviour Infection

assessment of the airways, comorbidity, risk factors, and self-management, followed by individualized management based on the identified characteristics⁴. We have piloted this approach in a controlled trial with COPD patients and showed that it leads to significant improvements in health status and outcomes associated with the specified target¹⁵.



FIGURE 1. Clinical phenotypes of asthma and COPD[®] (reproduced with permission from Centre of Excellence in Severe Asthma. <http://www.severeasthma.org.au/files/2016/09/CAR.pdf>).

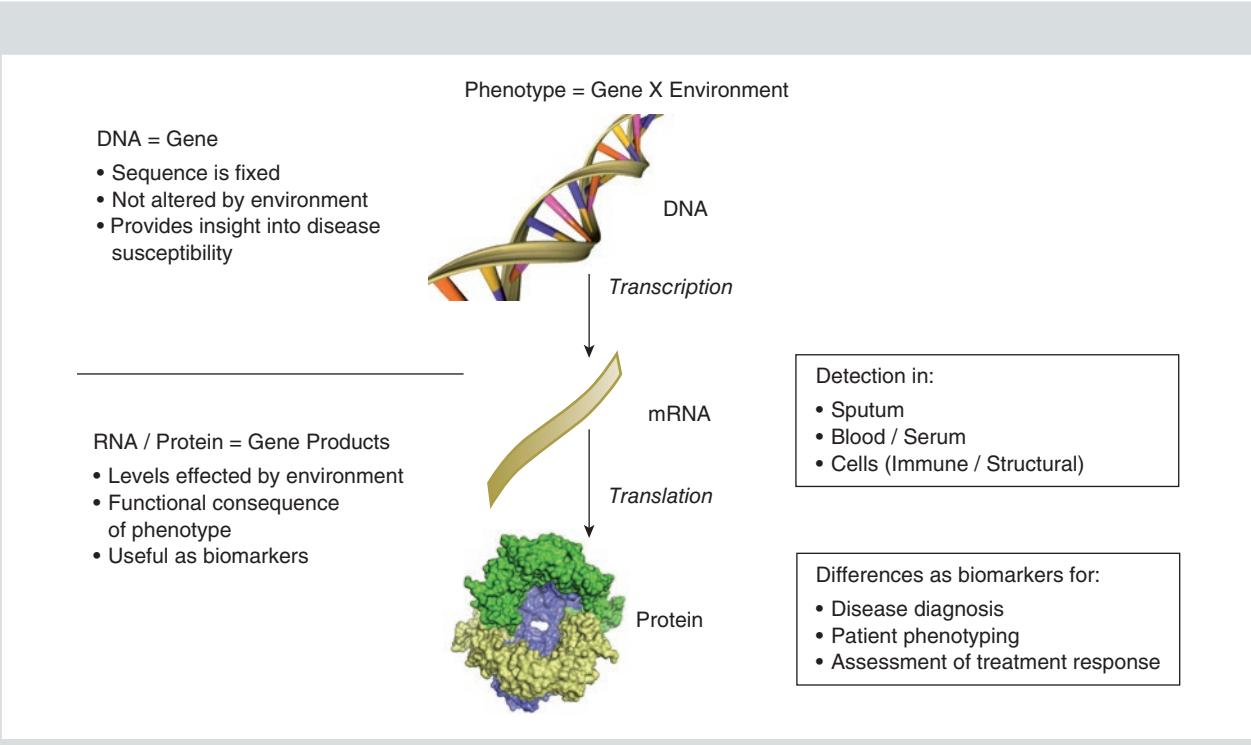


FIGURE 2. Dissection of a phenotype and the important place of mRNA and protein biomarkers as signs of gene x environment interaction.

Airway pathophysiology

AIRFLOW LIMITATION

Airflow limitation is assessed in the clinic using spirometry to measure forced expiratory volume in one second (FEV₁), forced vital capacity (FVC), and FEV₁/FVC ratio. It is important to determine the degree and severity of airflow limitation, and to determine the response to pharmacological treatments that target airflow limitation, including long-acting muscarinic antagonists (LAMA), long-acting beta₂-agonists (LABA), and inhaled corticosteroids (ICS). In asthma, the approach to management includes the initiation and on-going use of ICS ± LABA, whilst in COPD, first-line treatment involves long-acting bronchodilators followed by the addition of ICS when FEV₁ falls below 50% or the patient becomes a “frequent exacerbator”.

EXACERBATIONS

Exacerbations of asthma and COPD are important events that lead to accelerated decline in lung function, more severe health status impairment, and higher rates of mortality¹⁶. A frequent-exacerbator phenotype has been described in both asthma¹⁷ and COPD¹² populations. Multiple factors are associated with increased exacerbations, including age, severity of airflow limitation, chronic mucus hypersecretion, bacterial colonization, comorbidity, systemic inflammation, physical inactivity, and smoking; however, the single best predictor of an exacerbation is the experience of a prior one^{12,17}. Whether exacerbations should be considered a phenotype or an outcome is a contentious issue. Appropriately, Han et al.⁹ propose that exacerbations can be

both, as prior exacerbations relate to clinically meaningful outcomes, e.g. future exacerbations and death, and are also the clinically meaningful outcome for other phenotypes such as the “eosinophilic endotype”.

Assessing exacerbations in the clinic is usually performed by asking the patient, and relying on the individuals’ recall. While this can give an indication of past history, it is flawed as patients often don’t recognise or seek treatment for exacerbations, particularly in COPD^{16,18}. Patient-reported outcome measures are a more robust of approach to measuring exacerbations. The EXAcerbation of COPD Tool (EXACT) has been developed as a measure of frequency, severity and duration of COPD exacerbation and is recommended as a valid outcome measure in clinical trials¹⁹. However, its use in clinical practice is difficult due to the burden of daily diary monitoring from the patient’s perspective. Ensuring patients and clinicians recognize exacerbations of asthma and COPD is essential, as is the development and implementation of multidimensional exacerbation preventive strategies.

Comorbidities

Both COPD and asthma are associated with many comorbidities. Conditions that are prevalent in both diseases include: upper airway dysfunction, obesity, anxiety and depression, cardiovascular and metabolic disease, obstructive sleep apnoea, and osteoporosis^{4,20} (Table 1). These comorbidities are important determinants of outcome. For instance, in COPD Divo et al.²¹ reported that comorbidities including coronary artery disease, lung cancer, other cancers (oesophageal, pancreatic, and breast cancer

in females) and anxiety (females) are independently associated with increased risk of death. The use of disease-specific, guideline-based management can be applied in the assessment and management of these comorbidities. Ensuring personalized treatments are implemented is a priority in COPD and asthma.

Risk factors

A number of behavioural and lifestyle risk factors play an integral role in the development and progression of COPD and asthma. Smoking, physical inactivity, poor nutrition leading to obesity, and poor self-management skills are important clinical phenotypes in both diseases.

PHYSICAL ACTIVITY

Physical inactivity is an important modifiable risk factor in asthma and COPD and is responsible for major morbidity and mortality worldwide²². In COPD, physical inactivity is very common²³ and one of the greatest predictors of poor outcome²⁴. Fewer data exist with respect to physical inactivity in asthma, but in adults it also appears to be common²⁵ and associated with poor outcome²⁶.

The measure used to assess physical activity is important. Direct questioning will usually underestimate physical inactivity. Validated questionnaires can help quantify activity, but remain subjective. The most reliable measures that are easily accessible from a clinical perspective are actigraphy, the use of pedometers, or activity trackers. Intervention studies in COPD using activity trackers and pedometers suggest that these also lead to increased steps per day²³.

OBESITY

Obesity is common in asthma and in COPD and is associated with increased risk of cardiovascular disease, metabolic syndrome, depression, and some cancers. In asthma, weight loss is recommended in overweight and obese individuals and is associated with improved health outcomes, including asthma control and health-related quality of life²⁷. In COPD, treatment recommendations are less clear because of the so-called “obesity paradox”, whereby individuals who are overweight or obese have improved survival²⁸. At present there are no evidenced-based treatment recommendations for obese COPD. However, in a proof of concept study by the present authors, weight loss achieved through meal replacement therapy and dietary counselling coupled with resistance exercise training led to improved COPD outcomes (6-minute walk distance, health-related quality of life, and the body mass index, airflow obstruction, dyspnea, exercise [BODE] index)²⁹.

Overweight and obesity can be identified through direct observation and calculation of body mass index (BMI), and this is by far the most common approach in the clinical environment. However, this is not the optimal approach as BMI fails to identify loss of skeletal muscle mass, which is common in chronic respiratory disease. Therefore, assessment of body composition using alternate methods is recommended. This could include assessments that also assess muscle mass (e.g. bio-impedance analysers or dual energy x-ray absorptiometry) to offer a more precise approach to classifying this phenotype. Other options that provide additional information are waist-to-hip ratio and waist

circumference; these are particularly important when assessing cardiovascular comorbidity risk.

SMOKING

In people with asthma and COPD, smoking is a risk factor for accelerated lung function decline, impaired corticosteroid response, and increased mortality³⁰. Self-report is a commonly used tool to assess smoking; however, it often may result in denial despite on-going smoking. Objective measures, including the use of exhaled carbon monoxide measures and salivary cotinine, are more reliable, and exhaled carbon monoxide can also be used in smoking cessation counselling as a means of demonstrating harm reduction associated with quitting.

Smoking cessation is the targeted treatment for this clinical phenotype and an approach that encompasses a combination of psychosocial interventions and pharmacological interventions, is superior to no treatment or to psychosocial interventions alone³¹.

SELF-MANAGEMENT BEHAVIOUR

Knowledge of disease, optimal inhaler technique, ability to manage exacerbations, and adherence to pharmaco- and non-pharmacotherapies are disease management strategies that reduce the risk of exacerbation, poor symptom control, and future lung function decline. “Poor self-management” could be considered a clinical phenotype. In asthma, self-management education involving written action plans, regular medical review, self monitoring, and enhancement of disease

knowledge leads to reduced healthcare utilization and improved patient-reported outcomes³². Approaches that activate patients to become successful self-managers are needed in COPD.

INFLAMMATORY ENDOTYPES OF ASTHMA AND COPD

The pattern of airway inflammation in the airway lumen can be classified based upon the type and proportion of granulocytes present, and these groupings are termed inflammatory endotypes. Four distinct inflammatory endotypes have been identified in asthma and COPD using induced-sputum analysis^{33,34}. These are eosinophilic, neutrophilic, mixed granulocytic (eosinophil/neutrophil), and paucigranulocytic. The eosinophilic endotype is present in between 30 and 50% of people with stable asthma, and between 15 and 30% of stable COPD patients^{15,35}. Eosinophilic airway inflammation has a clearly identified molecular pathway³⁶ and has been linked to both prognosis (increased exacerbation rate^{37,38}) and response to treatment with corticosteroids and anti-interleukin (IL)-5 monoclonal antibodies^{39,40}. This makes the eosinophilic endotype one of the best-characterized endotypes. The finding of increased eosinophils in induced sputum⁴¹ or bronchial biopsy⁴² predicts a good short-term response to corticosteroids in asthma. In COPD, sputum eosinophilia also predicts a good short-term response to corticosteroids⁴³. Similarly, longer-term management of asthma and COPD⁴⁴⁻⁴⁸ guided by sputum eosinophil counts leads to highly significant reductions in acute exacerbations and health status compared to symptom-based management. Recognition of the

severe refractory asthma with eosinophilia endotype also predicts a good response to anti-IL-5 monoclonal antibodies (mepolizumab)^{39,40,43}.

Since induced sputum is used predominantly as a research tool, more accessible markers are needed in order to recognise the eosinophilic endotype. Potential markers suitable for this are peripheral blood eosinophil counts, fraction of exhaled nitric oxide (FeNO) levels, serum periostin, and their combinations. In persistently symptomatic asthma treated with ICS, a blood eosinophil count above 2.6%, or $0.26 \times 10^9/l$, was an excellent predictor of sputum eosinophilia⁴⁹. In COPD, Bafadel et al.⁵⁰ have reported that a peripheral blood eosinophil count $> 2\%$ is a sensitive biomarker during acute exacerbations to determine sputum eosinophilia⁵⁰. In stable COPD, a threshold of $\geq 0.3 \times 10^9/l$ in peripheral blood eosinophil count enabled identification of the presence or absence of sputum eosinophilia in 71% of cases³⁵. The Withdrawal of Inhaled Steroids During Optimised bronchodilator Management (WISDOM) study was a 12-month, randomized, parallel-group trial of 2,296 COPD patients who received daily tiotropium, salmeterol, and fluticasone propionate for six weeks and were then randomly assigned to either continue treatment or reduce the fluticasone over 12 weeks. A *post hoc* analysis of these data report that blood eosinophil counts of $\geq 4\%$ (300 cells per μl) could be used to identify those that responded deleteriously to ICS withdrawal⁵¹, suggesting that peripheral blood eosinophils may be a useful marker in guiding therapy in COPD.

An increased FeNO in asthma arises due to increased epithelial inducible nitric oxide

synthase (iNOS), and can predict a response to ICS. This marker performs well in mild-to-moderate asthma⁵². Since patients with severe asthma are already treated with high-dose ICS, it may not be discriminatory in that setting⁵³. Similarly, the role of FeNO in phenotyping COPD patients requires more research. Serum periostin was identified as a secreted product of IL-13-stimulated bronchial epithelial cells⁵⁴, and has been closely correlated with airway eosinophilia in some⁵⁵, but not all^{56,57}, studies. Some^{58,59}, but not all⁵⁷, studies suggest a combination of biomarkers gives better prediction of clinical outcomes. Since blood eosinophils are easily accessible, show the best association with airway eosinophilia, and are predictive of treatment response, this biomarker shows great promise for the identification of the eosinophilic endotype in clinical practice.

The neutrophilic endotype is present in approximately 15% of stable adults with asthma and up to 60% of COPD patients. It is associated with severe asthma, corticosteroid exposure, fixed airflow limitation⁶⁰, airway dysbiosis⁶¹, smoking, occupational irritants⁶², and comorbidities such as obesity and sleep apnoea⁶³. The proposed molecular pathways include T helper (TH) 17 responses, and NACHT, LRR, and PYD domains containing protein 3 (NLRP3) inflammasome-mediated production of IL-1 β ⁶⁴, with associated neutrophil activation^{64,65}. Further work is needed to define specific treatments for neutrophilic asthma, with macrolide antibiotics^{66,67} showing promise. Peripheral blood markers such as C-reactive protein⁶⁸ and blood neutrophil count⁴⁹ are increased in neutrophilic asthma, but may not be sufficiently discriminatory for use in clinical practice.

MOLECULAR ENDOTYPES

The definition of molecular endotypes of asthma and COPD holds great promise. If a molecular pathway can be identified, then the specific components of that pathway can be used as potential treatment targets and also as biomarkers, either to recognize the endotype or to monitor its response to treatment. The identification of molecular endotypes has become increasingly possible with the use of “omics” technologies⁶⁹⁻⁷¹.

A phenotype is defined as the set of observable characteristics of an individual resulting from the interaction of its genotype with the environment. Implicit in this definition is the fact that a phenotype is something more than the genetic makeup of an individual. In practical terms, this means that while a genetic marker may confer some risk, it alone may not be sufficient to allow recognition of a phenotype, and hence prognosis or treatment responsiveness. This means that more information is required that pertains to the “interaction of the genotype with the environment”. Whilst deoxyribonucleic acid contains the molecular code, it does not describe the interaction of the organism with its environment that is so crucial to phenotype recognition. So what sort of information describes that interaction? In molecular terms, this interaction comes from the transcription of the genetic code, i.e. as ribonucleic acid, and its translation to protein (Fig. 2). Technologies have been developed that use mass-throughput techniques to define these processes, such as transcriptomics, and proteomics. Several large-scale studies, such as Unbiased BIOMarkers in PREDiction of respiratory disease outcomes (U-BIOPRED)⁶⁰, are underway

to integrate these technologies for the characterization of severe asthma.

Transcriptomic analyses in asthma have been conducted to identify asthma endotypes and their relation to clinically relevant outcomes (Table 2). A transcriptomic analysis on induced sputum identified several endotypes with clear molecular differences and correlations with granulocytic subtypes⁷². These markers were further developed into a six-gene signature that reproducibly defined endotype and predicted corticosteroid responsiveness in asthma⁷³. Transcriptomic profiling of bronchial epithelial cells identified a three-gene signature for the TH2 endotype of asthma that yielded a circulating biomarker (periostin) and was predictive of response to ICS⁵⁴. This T2S signature was also found to be present in nasal epithelial brushings and induced sputum⁷⁴⁻⁷⁶. The T2S signature was evaluated in asthma/COPD overlap using bronchial brushings and found to be related to bronchodilator and corticosteroid responsiveness⁷⁷. Similarly, a transcriptional analysis of sputum cells identified subtypes that were associated with markers of disease severity, such as lower lung function, hospitalization for asthma, and life-threatening asthma attacks⁷⁸. This profile was also linked to a 53-gene transcript signature in whole blood samples from children with asthma. A transcriptional profiling of macrophages identified gene signatures that were related to asthma severity⁷⁹ and profiling of peripheral cluster of differentiation 4 T helper (CD4+T) cells in asthmatics with and without depression found a signature that was present in depressed asthmatics, and was associated with the degree of airflow limitation⁸⁰. These results show that transcriptomic profiling has successfully identified

TABLE 2. Transcriptomic profiling for endotypes of asthma

Author	Disease	Sample	Transcriptomic result	Clinical Correlate
Baines et al. ⁷³	Asthma	Induced sputum	6-gene signature (CPA3, CLC, DNASEL1, IL1b)	Predicts corticosteroid responsiveness Identifies eosinophilic / neutrophilic endotypes
Woodruff et al. ⁵⁴ Peters et al. ⁷⁴ Poole et al. ⁷⁶	Asthma	Bronchial epithelial cells Induced sputum Nasal epithelial cells	T2 signature – T2S (PSTN, CLCA1, SERPINB2)	Predicts corticosteroid responsiveness
Yan et al. ⁷⁸	Asthma	Induced sputum / blood	3 endotypes, 53 gene profile (EXOSC9, SMAPC5, NRCAM, PCLO, DNH17, DEFB1)	Associated with asthma hospitalisations and near-fatal asthma
Wang et al. ⁸⁰	Asthma	Circulating CD4 ⁺ T-cells	CYP2D γ , PIK3R1, CFB	Asthma and depression Airflow obstruction
Becker et al. ⁷⁹	Asthma	Human MDM Bronchoalveolar lavage macrophages Bronchial biopsies	M (IFN γ +LPS, TNF α) M (IL-4, IL-13) RAMP1	Asthma severity
Christensen et al. ⁷⁷	Asthma-COPD overlap	Bronchial brushings / epithelium	POSTN, CLCA1, SERPINB2	Airflow obstruction Bronchodilator reversibility Corticosteroid response

CPA3: Carboxypeptidase A3; CLC: Charcot–Leyden crystal; DNASEL1: DNase I-Like 1; IL1b: Interleukin 1 beta; T2S: type 2 Signature; PSTN: Periostin; CLCA1: Chloride channel accessory 1; SERPINB2: Serpin Family B Member 2; EXOSC9: Exosome Component 9; SMAPC5: Small nuclear RNA activating complex, polypeptide 5; NRCAM: Neuronal Cell Adhesion Molecule; PCLO: Piccolo Presynaptic Cytomatrix Protein; DNH17: Dynein Axonemal Heavy Chain 17; DEFB1: Defensin Beta 1; CYP2D γ : cytochrome P450, family 2, subfamily D, polypeptide 6; PIK3R1: Phosphoinositide-3-Kinase Regulatory Subunit 1; CFB: complement factor B; M (IFN γ +LPS, TNF α): macrophage (Interferon gamma + Lipopolysaccharide, Tumor necrosis factor alpha); M (IL-4, IL-13): macrophage (interleukin 4, interleukin 13); RAMP1: Receptor activity modifying protein 1; POSTN: periostin

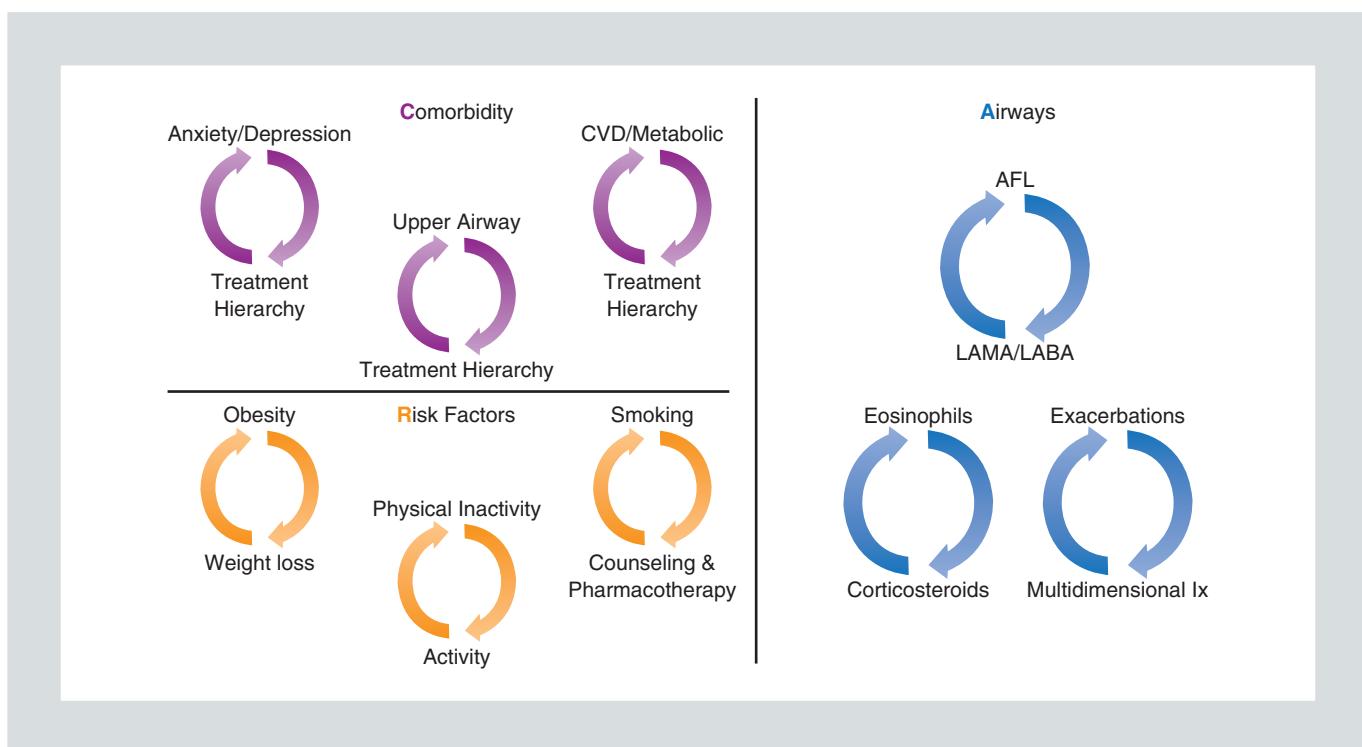
molecular endotypes of asthma using a variety of samples, and that these associate with clinically important outcomes. Further development of this approach will require confirmation in larger patient numbers and increasing the accessibility of the testing.

INTEGRATION

How do we put it all together?

A COPD control panel has been proposed by Agusti and MacNee⁶; this panel includes three domains that relate to severity, activity and impact. Within each domain are elements that provide information that can guide

individualized management of the COPD. We have previously proposed a model of airways disease management that includes the domains of the airways, comorbidity, risk factors, and self-management skills⁴. We have now proposed an airway disease phenotype panel based on currently available research results, accessible measurements, and available therapies (Table 3, Fig. 1 and 3). This can include assessment of airway pathophysiology (airflow limitation, eosinophilia and exacerbations), comorbidity, and risk factors. Each of these domains can be linked to a specific and effective therapeutic approach (Table 3). Several questions remain regarding whether patient assessment and treatment should involve concurrent or sequential assessment

**FIGURE 3.** Targeted treatment cascade for asthma and COPD.

AFL: airflow limitation; CVD: cardiovascular disease; LABA: long-acting Beta₂ agonists; LAMA: long-acting muscarinic antagonists; Multidimensional Ix: multidimensional intervention.

TABLE 3. Phenotype assessment and treatment in asthma and COPD

Panel	Measurement		Interventions
	Simple	Involved	
Airway pathophysiology			
Airflow limitation	Spirometry	Lung volumes	Long-acting bronchodilators
Exacerbations	History	Validated patient-reported outcome measure	Bronchodilators Corticosteroids Address risk factors
Eosinophilia	Blood count	Induced sputum T2 Subtypes 6 gene signature	Corticosteroid Anti-IL-5 therapy
Comorbidity	History	COTE ²¹	Guideline-based therapy
Risk factors			
Smoking	History	Exhaled carbon monoxide	Smoking cessation (counselling and pharmacotherapy)
Physical inactivity	History	Actigraphy	Physical activity, behaviour change strategies
Nutrition - Obesity	BMI	DEXA or BIA (body composition) Waist-to-hip ratio, waist circumference	Weight loss
Poor self management	History	Direct observation	Self-management education
Infection	History		Vaccination Infection prevention strategies (avoidance, hand hygiene)

BIA: bio-impedance analysis; BMI: body mass index; COTE: COPD-specific comorbidity test; DEXA: dual energy X-Ray absorptiometry.

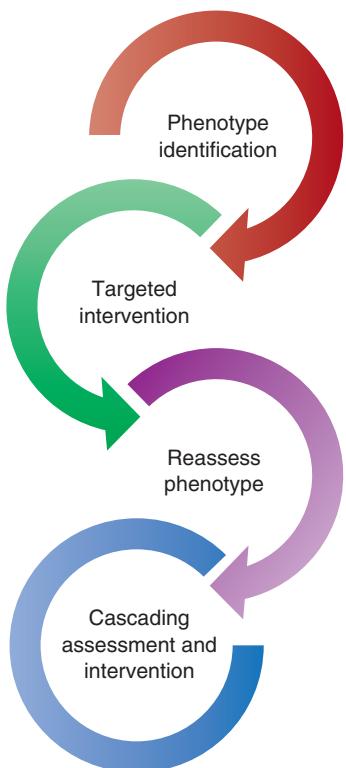


FIGURE 4. CARe cascade.

and treatment of all domains (Fig. 4). A concurrent approach is appealing for those domains that are easily accessible and simply treated. The assessment can then be reapplied, and if the patient shows an incomplete response, more intensive assessment and therapy can be introduced. This results in a cascade of assessment and intervention, moving from simple to more complex.

CONCLUSION

Asthma and COPD are common obstructive airway diseases. Assessment and management in clinical practice is often confounded

by the complex heterogeneity that underlies these conditions. An approach is offered that involves phenotyping patients and linking these observed traits to evidence-based management. Research has identified useful ways to phenotype some traits, and studies are now required to demonstrate the efficacy of this treatment approach.

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