

# Novelties on treatment of hemodynamically stable pulmonary embolism from 2019 to present

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## ABSTRACT

Pulmonary embolism is a potentially life-threatening condition requiring prompt diagnosis and treatment. Despite the clear guidelines, this disease still represents a great challenge in both diagnosis and treatment in hemodynamically stable patients, especially with signs of right ventricular dysfunction proven by echocardiography and/or positive biomarker values (pulmonary embolism of intermediate–high risk). The heterogeneous clinical picture, often without pathognomonic signs and symptoms, represents a huge problem even for experienced doctors. Recent advances have led to the development of newer techniques and drugs aimed at improving pulmonary embolism management, reducing its associated morbidity and mortality, and the complications related to anticoagulation. The latest recommendations give preference to new oral anticoagulants compared to vitamin K antagonists, except for certain categories of patients (patients with antiphospholipid syndrome, mechanical valves, pregnancy). This review provides an overview of hemodynamically stable pulmonary embolism management and treatment.

**Keywords:** Anticoagulation. Pulmonary embolism. VTE.

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## INTRODUCTION

Venous thromboembolism (VTE), which comprehends deep venous thrombosis (DVT) and pulmonary embolism (PE), represents the third most frequent acute cardiovascular syndrome, with an annual incidence estimated at one to two cases per 1,000 people, according to epidemiology studies<sup>1,2</sup>. Moreover, it is the third most common cause of death worldwide<sup>3,4</sup>. The percentage of PE varies from 30% to 40% of all the VTE episodes and it seems to be more frequent in the last years<sup>5</sup>. In Italy, the incidence of PE ranges from 0.41 to 0.55 per 1,000 person-years<sup>3</sup>. It is estimated that about one-third of patients presenting VTE have PE<sup>6</sup>. The incidence of PE is increasing within VTE patients so that the significant increase in VTE incidence registered lately is mostly due to more frequent PE<sup>7</sup>.

The clinical picture of PE is wide, spanning from asymptomatic, dyspnea, and fatigue to sudden death<sup>4,8</sup>. Due to the high percentage of diagnostic pitfalls, it is crucial to treat this condition with the right therapy for the right patients in a timely fashion<sup>9</sup>.

Once the diagnosis of PE is made, the 2019 European Society of Cardiology (ESC) guidelines recommend risk stratification based on hemodynamic stability, clinical prediction scores, cardiac biomarkers, and imaging of the right ventricle (RV) using echocardiography or computed tomography<sup>10</sup>.

The aim of this review is to highlight the new strategies for the treatment of hemodynamically stable PE (i.e., PE with an intermediate

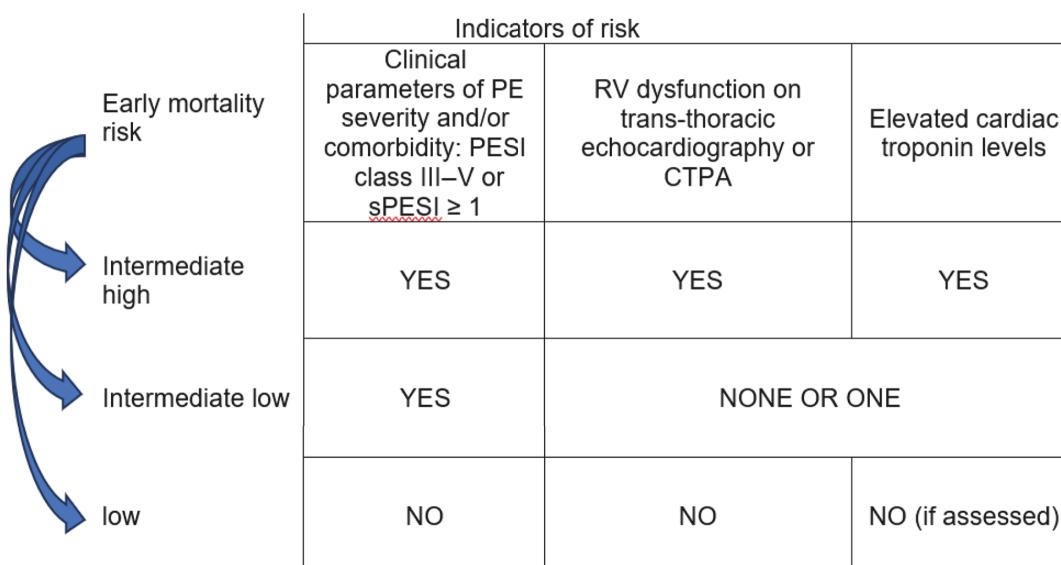
or low early mortality risk), since the last guidelines on the diagnosis and the treatment of the PE were released in January 2020 by the ESC and the European Respiratory Society (ERS).

## How to define the circle stability?

The 2020 ESC-ERS guidelines define PE as hemodynamically unstable when one of the following situations occurs<sup>10</sup>:

1. Cardiac arrest, as in need for cardiopulmonary resuscitation.
2. Obstructive shock is defined as the combination of (a) systolic blood pressure (BP) <90 mmHg or need for vasopressors to maintain BP ≥90 mmHg despite euvolesmic status and (b) end-organ hypoperfusion.
3. Persistent hypotension is defined as systolic BP <90 mmHg or a systolic BP drop ≥40 mmHg, which requires (a) duration longer than 15 min and (b) exclusion of new-onset arrhythmia, hypovolemia, or sepsis as causative event.

Any patient presenting with PE and none of the aforementioned conditions can be classified as hemodynamically stable PE. These patients are also a very heterogeneous group, ranging from patients with small PE, stable BP, normal RV size and function, normal biomarkers, and a normal simplified Pulmonary Embolism Severity Index (sPESI) (low risk) to those patients with extensive emboli with



	Indicators of risk		
	Clinical parameters of PE severity and/or comorbidity: PESI class III–V or <u>sPESI</u> ≥ 1	RV dysfunction on trans-thoracic echocardiography or CTPA	Elevated cardiac troponin levels
Early mortality risk			
Intermediate high	YES	YES	YES
Intermediate low	YES	NONE OR ONE	
low	NO	NO	NO (if assessed)

**FIGURE 1.** Classification of PE severity and mortality risk (*modified from ESC-ERS 2020 guidelines on PE<sup>10</sup>*).

CTPA: computed tomography pulmonary angiography; PE: pulmonary embolism; sPESI: Pulmonary Embolism Severity Index.

tachycardia, right ventricular dysfunction (RVD), abnormal biomarkers, and borderline BP<sup>10–13</sup>. Based upon the ESC guidelines<sup>10</sup>, these patients have been categorized as “intermediate–low risk” (abnormal RV function or elevated serum troponin) and intermediate–high risk (abnormal RV function and elevated serum troponin).

The treatment varies according to the early (in-hospital or 30 days) mortality risk (Fig. 1). This risk stratification is based on the presence of hemodynamic instability, clinical severity, worse prognostic signs at echocardiography, and/or computed tomography pulmonary angiography (CTPA), and serum troponin levels. It should be noted that whenever there is hemodynamic instability, PE is stratified as high risk, but this picture is

not mentioned in this review. This risk stratification is based on the presence of clinical, echocardiographic, CTPA signs, and serum troponin levels.

The role of systemic thrombolysis in those with hemodynamically stable disease is less clear. RVD is central to this risk stratification because of its critical role in disease severity; that is, consequent RV failure is the primary cause of death in PE<sup>14,15</sup>.

Systematic reviews (SRs) have encouraged confusion by reaching different indications in “intermediate-risk” PE treatment regimens. Four meta-analyses have assessed the prognostic value of trans-thoracic echocardiography (TTE)-derived RVD in hemodynamically stable patients, all evaluating short-term

all-cause mortality as the primary outcome. Prosperi-Porta et al.<sup>16</sup> assessed the prognostic value of TTE-derived RVD in intermediate-risk patients as defined by ESC criteria (sPESI > 0 and/or positive cardiac biomarkers and/or imaging evidence of RVD), which had not been previously assessed. Interestingly, there seems to be a numerical trend of a diminishing prognostic value of RVD with increasing risk categories. In a meta-analysis of low-risk patients, Becattini et al.<sup>17</sup> showed that RVD identified on TTE carried an odds ratio (OR) for in-hospital/30-day mortality of 5.86 (confidence interval [CI] 2.3–14.86) compared with our data showing an OR of 2.00 (CI 1.66–2.40) in hemodynamically stable patients and 1.63 (CI 0.76–3.48) in intermediate-risk patients. While this trend is only hypothesis-generating due to the few studies with intermediate-risk patients that we identified, it is possible that as patients develop additional risk markers, they may become preselected where categorical RVD becomes more common and less specific for adverse events.

Current ESC guidelines provide a class IIa recommendation to consider RV assessment by imaging methods or laboratory markers in all patients regardless of risk category<sup>10</sup>. However, apart from increased hospital monitoring, the presence of RVD in hemodynamically stable patients has failed to alter initial management as shown in the Pulmonary embolism thrombosis: hemodynamic instability and thrombolysis optimization (PEITHO) trial of fibrinolytic therapy<sup>18</sup>. The presence of traditional TTE RVD definitions is ubiquitous in hemodynamically stable PE (37.8% of patients in

this meta-analysis). Similar to the PEITHO trial, which defined RVD by the presence of RV end-diastolic diameter > 30 mm or RV/left ventricle (LV) > 0.9 or hypokinesis of the RV free wall or tricuspid regurgitation velocity >2.6 m s<sup>-1</sup>, 70% of the studies in this meta-analysis used similar composite definitions of RVD<sup>18</sup>. Given its high prevalence, these current definitions of RVD lack the specificity to define a truly intermediate–high-risk subpopulation that might benefit from upfront reperfusion strategies.

The core therapy for VTE is anticoagulation. There are six types of anticoagulants<sup>1,10</sup>:

1. Non-vitamin K oral anticoagulants (NOACs)
2. Low-molecular-weight heparin (LMWH)
3. Fondaparinux
4. Unfractionated heparin (UFH)
5. Vitamin K antagonists (VKAs)
6. Direct thrombin inhibitors (DTIs).

Lasica et al.<sup>1</sup> pointed out that these drugs are used in the treatment of thrombosis in patients who developed heparin-induced thrombocytopenia (HIT). The most studied DTI are lepirudin and argatroban. Lepirudin can be used intravenously or subcutaneously while argatroban is administered parenterally. Dose adjustments are required in patients with renal failure for lepirudin and hepatic damage for argatroban. Their

effect needs to be monitored by dosing the activated partial thromboplastin time (aPTT).

Since these inhibitors, except dabigatran (which is described under NOACs), have not been studied in the treatment of acute PE, they are not treated in this review.

There are no recommendations regarding which anticoagulant is preferred in intermediate- or low-risk PE, but it should be noted that:<sup>1,10,19,20</sup>

- Anticoagulation should be started during the diagnostic workup in patients with the high or intermediate clinical probability of PE, according to risk scores such as PESI and sPESI.
- LMWH and fondaparinux are the recommended parenterally anticoagulants over UFH for most patients, except in cases of creatinine clearance <30 ml/min, severe obesity (as in body mass index above 40 kg/m<sup>2</sup>), and clinically suspected imminent hemodynamic decompensation. Imminent hemodynamic decompensation is not a clearly defined condition, but can be considered as a condition in which intermediate-high-risk PE patients are worsening despite anticoagulation and there could be the need for reperfusion treatment.
- NOACs are the recommended parenterally anticoagulants over VKAs, if patients are eligible. VKAs are recommended over NOACs in severe renal impairment (creatinine clearance <15 ml/min) and in patients affected by anti-phospholipid antibody syndrome.

PE is a highly heterogeneous clinical entity, sometimes misdiagnosed, sometimes complicating preexistent acute conditions, so that the treatment can be delayed or that the right choice about treatment can be tricky.

There are three phases of treatment<sup>6</sup>.

1. Initial management: first 5–21 days after diagnosis.
2. Primary treatment: from 3 to 6 months.
3. Secondary prevention: after 3–6 months.

The third phase is not deepened in this review because it is not required for every patient; it is necessary to evaluate different aspects and target the decision on the single patient, balancing risk factors for VTE, bleeding risk, and VTE triggers<sup>21</sup>. Ortel et al.<sup>6,10,22</sup> and the 2020 guidelines on VTE point out that secondary prevention is indicated in:

- Unprovoked VTE defined as a DVT or PE in people with no predisposing risk factors.
- Chronic risk factors for VTE (Table 1).

## Non-vitamin K oral anticoagulants

Four drugs belong to this class: three inhibitors of the activated X factor – rivaroxaban, apixaban, and edoxaban – and one inhibitor of the activated thrombin, dabigatran<sup>1,23</sup>.

Nowadays, new oral anticoagulants are the first-choice drugs for the treatment of most

**TABLE 1.** Chronic risk factors for VTE

Strong risk factors	Previous VTE
Moderate risk factors	Autoimmune disease Blood transfusion Central venous lines or intravenous catheters and leads Chemotherapy Congestive heart failure or respiratory failure Erythropoiesis-stimulating agents, hormone replacement therapy, oral contraceptive therapy In vitro fertilization Postpartum period Inflammatory bowel disease and cancer (highest risk in metastatic disease) Thrombophilia
Weak risk factors	Bed rest >3 days or immobility due to sitting Diabetes mellitus, arterial hypertension, obesity Increasing age Laparoscopic surgery Pregnancy Varicose veins

VTE: venous thromboembolism.

PE patients<sup>10,24</sup>, because they have predictable pharmacokinetics, short half-lives, and few pharmacological interactions, so that there is a less need for monitoring<sup>25</sup>. Moreover, they showed a similar efficacy towards traditional anticoagulation, as in LMWH, VKAs, and fondaparinux, and a lower risk of bleeding complications<sup>26,27</sup>. However, it should be pointed out that NOACs' pharmacodynamics is not evaluated with usual coagulation tests<sup>28</sup>.

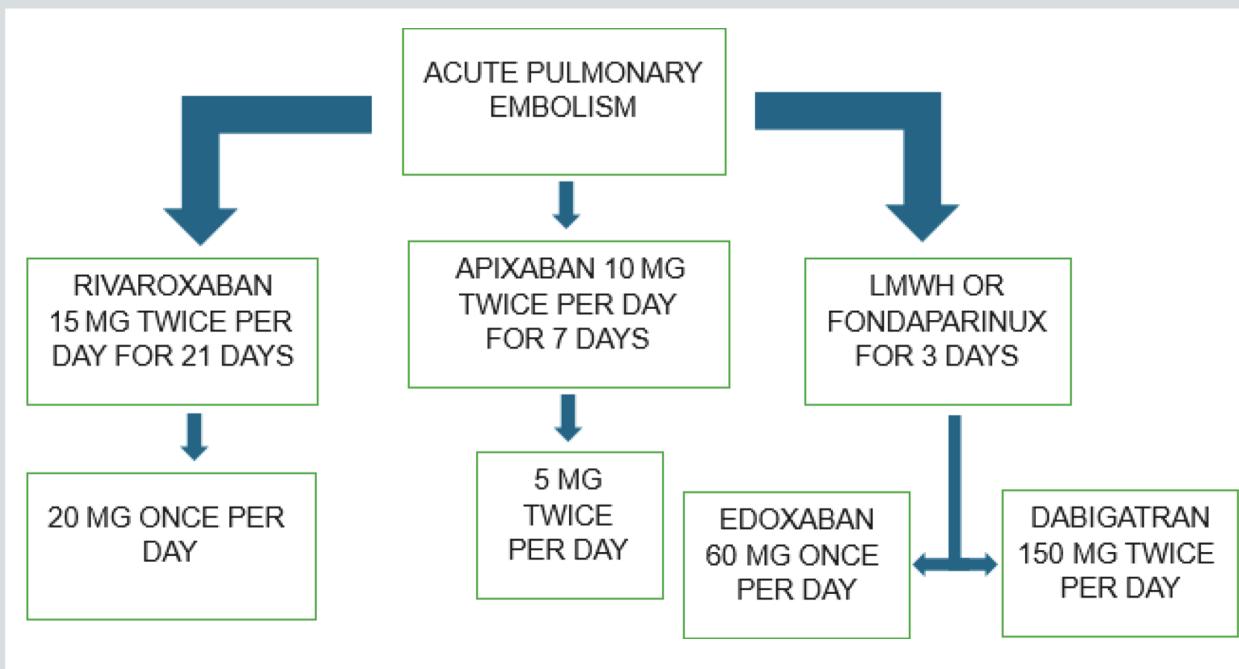
The duration of the initial management varies according to the choice of the molecule (Fig. 2).

Of note, only rivaroxaban and apixaban are validated in both phases; edoxaban and dabigatran are validated only in the primary treatment, while for the initial phase they

require LMWH or fondaparinux<sup>25</sup>. Moreover, recently apixaban and rivaroxaban were found to be appropriate in the treatment of severely obese patients or whose weight is >120 kg<sup>20</sup>. On the other hand, edoxaban should be administered at 30 mg daily for patients weighing less than 60 kg<sup>20</sup>.

Regarding renal impairment<sup>20</sup>:

- Apixaban cannot be used if creatinine clearance is less than 25 ml/min.
- Dabigatran cannot be used if creatinine clearance is less than 30 ml/min.
- Edoxaban cannot be used if creatinine clearance is less than 15 ml/min, but between 15 and 50 ml/min, it can be



**FIGURE 2.** Initial and primary phase treatment using NOACs<sup>25,29-31</sup>.

NOACs: non-vitamin K oral anticoagulants.

administered using a reduced dose of 30 mg daily.

– Rivaroxaban cannot be used if creatinine clearance is less than 15 ml/min.

NOACs cannot be used in pregnancy and during breastfeeding<sup>25</sup>.

## Low-molecular-weight heparin

LMWH achieves its anticoagulant effect by inhibiting the activated X factor and binding to the anti-thrombin III<sup>32</sup>.

Hemodynamically stable PE is usually treated with LMWH rather than UFH, due to its major safety profile (less bleedings and HIT), better

predictable anticoagulant response, and faster attainment of therapeutic levels – in 3–4 h<sup>29,33-35</sup>.

Recent data show that initial management with LMWH can be reduced to 3 days<sup>30</sup>, so that it is possible to switch to NOACs after 72 h of treatment with LMWH if patients remain stable<sup>29</sup>.

Usually, the LMWH dose correlates with patients' weight: 1 mg/kg twice daily, although it is possible to administer 1.5 mg/kg once daily. LMWH is administered subcutaneously and does not require aPTT monitoring<sup>1,36</sup>.

For some authors, LMWH is still the standard of care in cancer-related thrombosis and in the prophylaxis of VTE in

cancer patients<sup>37-39</sup>. However, the last CHEST guidelines recommend an oral Xa inhibitor (rivaroxaban, apixaban, and edoxaban) over LMWH in the absence of high risk of gastrointestinal or genitourinary bleeding<sup>40,41</sup>. As a matter of fact, several large studies have investigated the role of NOACs: rivaroxaban had lower rates of VTE than LMWH in the prophylaxis, while edoxaban and apixaban had similar prophylactic efficacy compared to LMWH<sup>42</sup>. According to the American Society of Hematology guidelines, in cancer-related PE, apixaban, rivaroxaban, or LMWH is recommended in the first phase, while apixaban, edoxaban, and rivaroxaban are recommended over LMWH in the second phase<sup>43</sup>.

Interestingly, NOACs are not recommended immediately after bariatric surgery, because of iatrogenous malabsorption; instead, parenteral anticoagulation is the best choice<sup>19</sup>.

Regarding the dosage in obese patients, data can be extrapolated from a study on VTE prophylaxis in orthopedic patients, since there are no clinical trials on the efficacy of anticoagulant in obese patients presenting with VTE. Tran et al.<sup>44</sup> pointed out that LMWH distribution is weight-dependent, and that fixed-dose treatment could be less effective than weight-based dosing. According to this review, it is suggested to use 4,000 IU twice a day rather than daily for VTE prophylaxis in severely obese patients.

LMWH and UFH do not cross the placenta, so these are the blood thinners used in pregnancy. LMWH is the preferred choice of treatment over UFH, because the former has less adverse effects and greater reliability<sup>45</sup>. LMWH is also

preferred over NOACs when PE occurs during VKA treatment, unless the reason for the recurrent episode of VTE is poor international normalized ratio (INR) control, in which case NOACs can be considered<sup>6</sup>.

## Fondaparinux

Fondaparinux is an activated factor X inhibitor, administered subcutaneously once daily, according to the patients' weight<sup>1,32</sup>:

- 5 mg if weight is under 50 kg.
- 7.5 mg if weight is between 50 and 100 kg.
- 10 mg if weight is over 100 kg.

Fondaparinux cannot be used if creatinine clearance is below 30 ml/min and should be used carefully if creatinine clearance is below 50 ml/min<sup>46</sup>. The drug has almost complete bioavailability after the administration and it is used off-label in the treatment of HIT; it is also used when LMWH cannot be administered, for example, in case of LMWH allergy<sup>1,47</sup>.

Fondaparinux can be safely used in bariatric patients and after bariatric surgery, due to its administration route and high bioavailability<sup>19</sup>.

## Unfractionated heparin

UFH was the cornerstone treatment for many years, because it was the only available option for decades<sup>25</sup>. Then, it was

replaced by LWMH, fondaparinux, and, more recently, NOACs. UFH is an indirect anticoagulant since it binds to AT-III; thus, the resulting complex inhibits the activated factors X, IX, XI, and XII<sup>1</sup>.

UFH is still used in a limited number of settings such as patients with severe renal impairment (creatinine clearance below 30 ml/min), pregnancy, severe obesity, and imminent hemodynamic instability, and patients whose only options in the primary treatment are VKAs<sup>1,6,25,48</sup>.

The initial dose varies according to patients' weight, and it is administered 80 IU/kg as an intravenous (i.v.) bolus and continuous infusion, maintaining an aPTT ratio of 1.5–2.5 times higher than the initial aPTT of the patient<sup>1</sup>.

Alternatively, UFH can be administered with an initial bolus of 5,000 U i.v. followed by 10,000 U or more subcutaneously every 12 h. The aPTT should be maintained 1.5–2.5 times the control value measured at 6 h after injection. Anti-Xa essay can be used in the monitoring of UFH activity with a target range of 0.3–0.7 U/ml<sup>45</sup>.

## Vitamin K antagonists

VKAs lost their primacy as the first-choice anticoagulants in favor of NOACs for most patients. However, their role remains untouched in patients affected by anti-phospholipids syndrome, severe renal insufficiency, and patients with mechanical valves<sup>1,25</sup>.

Moreover, they are often used in a specific case of PE complication (about 3% of PE survivors<sup>1</sup>, i.e., chronic thromboembolic pulmonary hypertension [CTEPH])<sup>49</sup>.

In this setting, lifelong anticoagulation is recommended, but there are no randomized clinical trials regarding which anticoagulant should be used<sup>50</sup>. Despite this, experts recommend VKAs over NOACs, due to lower recurrent VTE rates using the first class rather than the latter<sup>50</sup>. It is noteworthy that 10% of people affected by CTEPH also suffer from anti-phospholipids syndrome, so in this case, VKAs are the only recommended anti-coagulants<sup>50</sup>.

There are three main drugs in this class: warfarin, acenocoumarol, and phenprocoumon. The most common is warfarin and most studies consider this drug, while similar effects are assumed for the others<sup>51</sup>. The main difference among the drugs is the half-life: 8–24 h for acenocoumarol, 36–42 h for warfarin, and 72–270 h for phenprocoumon<sup>51,52</sup>. The anticoagulant effect is reached by the inhibition of the vitamin K epoxide reductase, thus decreasing the activity of factors II, VII, IX, and X and proteins S, C, and Z<sup>23</sup>. These drugs are metabolized by the CYP2C9 and CYP3A4 and eliminated through liver and kidney<sup>52</sup>.

The effect of VKAs is monitored with the dosage of INR, which needs to be between 2 and 3<sup>1</sup>. The bioavailability greatly varies according to two kinds of factors: genetic and nongenetic factors<sup>52</sup>. The former kind represents single nucleotide polymorphisms in the liver enzymes adhibited

**TABLE 2.** List of agents interfering with warfarin mechanism<sup>51-53</sup>

Drugs class	Examples
Antiplatelet agents	Clopidogrel, ticlopidine, aspirin, dipyridamole
Antimicrobials	Azole antifungals, cephalosporins, sulfonamides, penicillins, quinolones, tetracyclines, rifampicin
Analgesics	Nonsteroidal anti-inflammatory drugs, opioids, acetaminophen, anticonvulsants ( gabapentin, pregabalin)
Central nervous system agents	Serotonin reuptake inhibitors, tetracyclic antidepressants, serotonin-norepinephrine reuptake inhibitors, barbiturates, valproate
Cardiovascular system agents	Amiodarone, amlodipine, isosorbide mononitrate, loop diuretics
Gastrointestinal agents	Proton pump inhibitors, loperamide, cholestyramine

to drug metabolism, while the latter comprehends food intake, polypharmacotherapy, and patients' compliance<sup>52</sup>.

Many drugs interfere with warfarin activities (Table 2). Increased risk of hemorrhage in patients under VKAs is observed if concomitant therapy with anti-platelet drugs, non-steroidal anti-inflammatory drugs, serotonin reuptake inhibitors, antibiotics such as macrolides and trimethoprim-sulfamethoxazole, azoles, amiodarone, statins, and lipid-lowering agents is taken<sup>1,52</sup>. On the other hand, the effect of VKAs is reduced whenever an inductor of liver cytochromes is taken, that is, rifampicin, azathioprine, carbamazepine, barbiturates, glucocorticoids, St. John's Wort, and green tea<sup>51,52</sup>.

As a matter of fact, whenever a new drug is added, an acute condition arises, or a chronic disease deteriorates, INR must be monitored more frequently than usual, since an INR out of range is very dangerous: if INR is lower than 2, there is a risk of thromboembolic event, and if INR is greater than 4, the risk of bleeding is heightened (exponentially if INR is above 6)<sup>1,52,54</sup>.

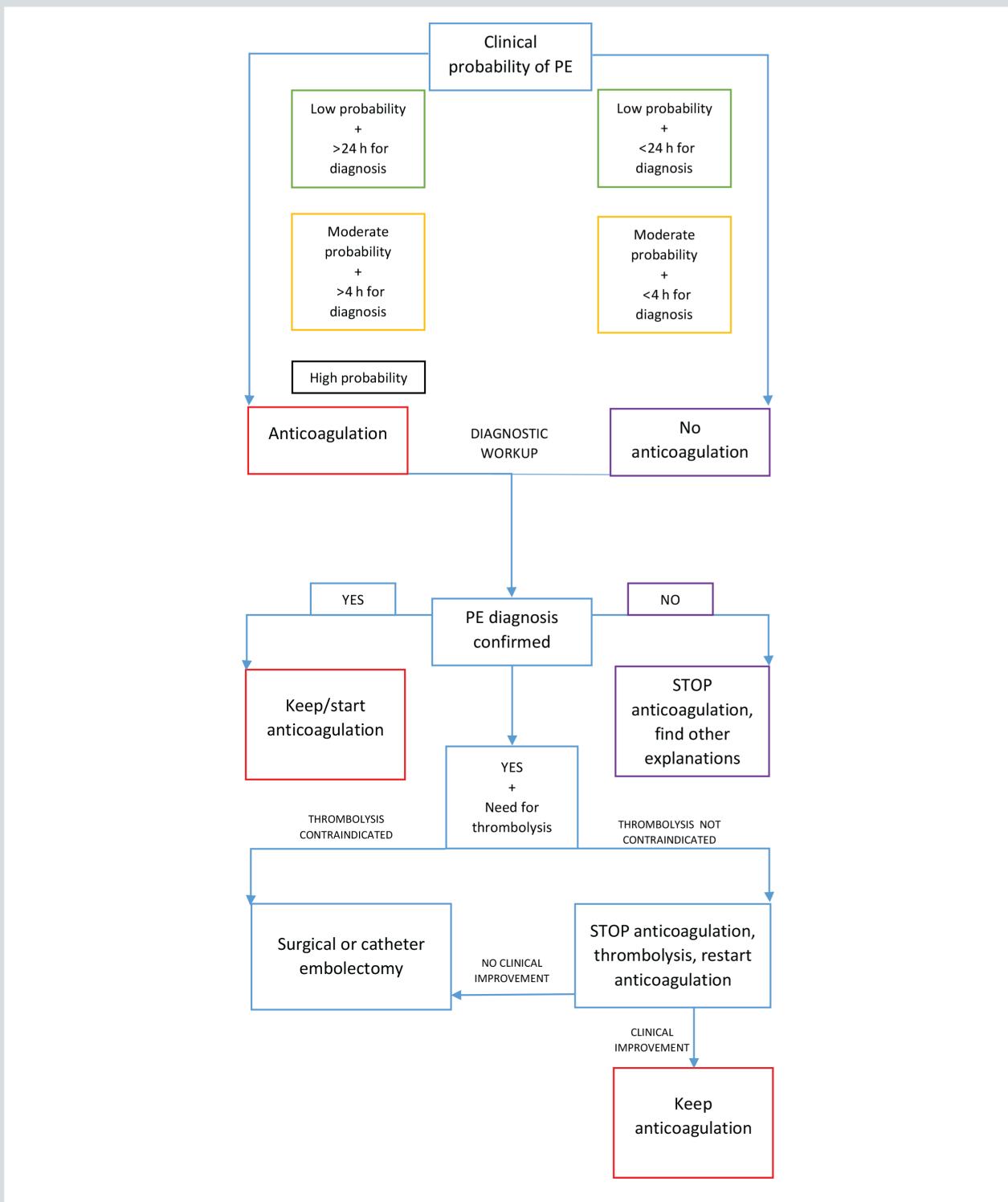
When VKAs are the drugs of choice, it is necessary to start with VKAs plus a parenteral anticoagulation, usually LMWH, combined for about 5 days, until after two consecutive INRs are >2, after which it is possible to continue with VKAs alone, monitoring INR<sup>55,56</sup>.

## Inferior vena cava filters

According to the 2020 ESC-ERS guidelines, inferior vena cava (IVC) filters should be placed for any of the following indications:

- Contraindication for anticoagulation (active hemorrhage, excessive bleeding risk), especially in the first month after an acute PE<sup>40,57,58</sup>.
- Anticoagulation-related complication.
- Recurrent PE despite therapeutic anticoagulation and in high-risk VTE patients, as primary prophylaxis<sup>59</sup>.

However, a meta-analysis of three randomized controlled studies by Jiang et al.<sup>59</sup>, which included 863 patients with DVT, concluded that the addition of an IVC filter to

**FIGURE 3.** Diagnostic algorithm in pulmonary embolism.

PE: pulmonary embolism.

anticoagulation, as compared with anticoagulation alone, did not reduce the incidence of recurrent PE in the short term (3 months).

Relative indications include the following:

- Free-floating thrombus in the IVC or iliofemoral segments.
- PE and limited cardiac reserve.
- Prophylaxis in patients with severe trauma, spinal cord injury, or paraplegia<sup>60,61</sup>.
- Prophylaxis before surgery<sup>62,63</sup>.
- Poor compliance with anticoagulation.
- Protection during DVT thrombolysis.

These are devices that mechanically prevent venous clots from impairing pulmonary circulation and they are placed percutaneously under angiographic guidance<sup>10,40</sup>. Placing and maintaining IVC filters is not risk-free, as complications are reported: device embolization, strut penetration, risk of DVT, access site hematoma, and failure to retrieve the IVC filter<sup>6,32</sup>. That is why, whenever the contraindication to anticoagulation is removed, it is recommended to retrieve the IVC filter and start anticoagulation<sup>6</sup>. Similar recommendations may be considered for PE in pregnancy<sup>45</sup>.

## CONCLUSIONS

Starting from what VTE is, how often it presents, and which is its clinical picture, we went through literature regarding the treatment options of PE in hemodynamic stable patients.

For intermediate–high risk PE, it seems that the best strategy is starting with anticoagulation, then reassessment after 24 h, and deciding whether to continue with anticoagulation or switch to other pathways (such as reperfusion therapy, systemic thrombolysis, etc.)<sup>29,64</sup>.

New data from recent literature propose a treatment algorithm that summarizes the procedures that can be followed in hemodynamically stable patients (Fig. 3). It refers to patients in whom anticoagulation is not contraindicated; otherwise in such patients, if PE is confirmed, the only possibility is positioning an IVC filter.

## ETHICAL DISCLOSURES

**Protection of human and animal subjects.** The authors declare that no experiments were performed on humans or animals for this study.

**Confidentiality of data.** The authors declare that no patient data appear in this article. Furthermore, they have acknowledged and followed the recommendations as per the SAGER guidelines depending on the type and nature of the study.

**Right to privacy and informed consent.** The authors declare that no patient data appear in this article.

**Use of artificial intelligence for generating text.** The authors declare that they have not used any type of generative artificial intelligence for the writing of this manuscript, nor for the creation of images, graphics, tables, or their corresponding captions.

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